

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akiko YABE, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/02092

GAU:

FILED: Herewith

EXAMINER:

FOR: LIGHT EMITTING DEVICE AND LIGHTING DEVICE USING IT, IMAGE DISPLAY UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

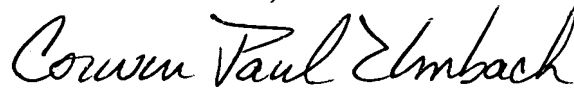
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 294524US0PCT		SERIAL NO. New US PCT Application Based on PCT/JP05/02092	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akiko YABE, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	02 33022	04/25/2002	WO (equivalent of US 6 366 033)			NO
	AM	2004 39297	02/05/2004	JP (with English abstract and computer generated translation)			NO
	AN	2001 214159	08/07/2001	JP (equivalent of US 2004 0079923 and US 6 641 933)			NO
	AO	02 091487	11/14/2002	WO (with English abstract and equivalent of US 2004 0137265)			NO
	AP	2003 147346	05/21/2003	JP (with English abstract and computer generated translation)			NO
	AQ	2003 81986	03/19/2003	JP (with English abstract and computer generated translation)			NO
	AR	2003 41252	02/13/2003	JP (with English abstract and computer generated translation)			NO
	AS	2005 8872	01/13/2005	JP (equivalent of EP 1 640 429)			NO
	AT	2004 352928	12/16/2004	JP (equivalent of EP 1 641 048)			NO
	AU	2004 356358	12/16/2004	JP (with English abstract and computer generated translation)			NO
	AV	02 09184	01/31/2002	WO (equivalent of US 6 359 298)			NO
	AW	2000 511586	09/05/2000	JP (equivalent of US 6 051 925 and US 6 084 250)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AX						
	AY						
	AZ						
Examiner					Date Considered		
<p>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

New U.S. PCT Application Based on PCT/JP05/02092

Akiko YABE, et al.

Docket No. 294524 US

STATEMENT OF RELEVANCY

- 1) References AL-AQ have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) Reference AR is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References AS-AW are additional prior art known to Applicant. A copy of these references is being submitted herewith.